

## Chapter 3. The North American Carbon Budget Past and Present

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### KEY FINDINGS

- Fossil fuel carbon emissions in the United States, Canada, and Mexico totaled 1856 Mt C yr<sup>-1</sup> in 2003. This represents 27% of global fossil fuel emissions.
- Approximately 30% of North American fossil fuel emissions are offset by a natural sink of 592 Mt C yr<sup>-1</sup> caused by a variety of factors, including forest regrowth, fire suppression, and agricultural soil conservation.
- North American carbon dioxide emissions have increased at an average rate of approximately 1% per year for the last 30 years.
- The growth in emissions accompanies the historical growth in the industrial economy and Gross Domestic Product (GDP) of North America. However, at least in the United States and Canada the rate of emissions growth is less than the growth in GDP, reflecting a decrease in the carbon intensity of these economies.
- Historically the plants and soils of the United States and Canada were sources for atmospheric CO<sub>2</sub>, primarily as a consequence of the expansion of croplands into forests and grasslands. In recent

1 decades the terrestrial carbon balance of these regions have shifted from source to sink as forests  
2 recover from agricultural abandonment, fire suppression and reduced logging and, as a result, are  
3 accumulating carbon. In Mexico, emissions of carbon continue to increase from net deforestation.

- 4 • Fossil fuel emissions from North America are expected to continue to grow, but will also continue to  
5 grow more slowly than GDP.
  - 6 • The future of the North American carbon sink is highly uncertain. The contribution of recovering  
7 forests to this sink is likely to decline as these forests mature, but we do not know how much of the  
8 sink is due to fertilization of the ecosystems by nitrogen in air pollution and by increasing CO<sub>2</sub>  
9 concentrations in the atmosphere, nor do we understand the impact of tropospheric ozone or how the  
10 sink will change as the climate changes.
  - 11 • The magnitude of the North American sink offers the possibility that significant mitigation of fossil fuel  
12 emissions could be accomplished by managing forests, rangelands, and croplands to increase the  
13 carbon stored in them. However, the range of uncertainty in these estimates is at least as large as the  
14 estimated values themselves.
  - 15 • Current trends towards lower carbon intensity of U.S. and Canadian economies increase the  
16 likelihood that a portfolio of carbon management technologies will be able to reduce the 1% annual  
17 growth in fossil fuel emissions. This same portfolio might be insufficient if carbon emissions were to  
18 begin rising at the approximately 3% growth rate of GDP.
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## 22 INTRODUCTORY SUMMARY

### 23 Fossil Fuel

24 Fossil fuel carbon emissions in the United States, Canada, and Mexico totaled 1856 Mt C yr<sup>-1</sup> in 2003  
25 and have increased at an average rate of approximately 1% per year for the last 30 years (United States =  
26 1582, Canada = 164, Mexico = 110 Mt C yr<sup>-1</sup>, see Fig. 3-1). This represents 27% of global emissions,  
27 from a continent with 16.5% of the global land area, 7.4% of the global population, and 25.0% of global  
28 GDP (EIA, 2005).

#### 30 **Figure 3-1. Historical carbon emissions from fossil fuel in the United States, Canada, and Mexico.**

31 Data from EIA (2005).

32  
33 The United States is the world's largest emitter in absolute terms, with approximately one-quarter of  
34 the global total. Its per capita emissions of 5.4 t C yr<sup>-1</sup> are among the largest in the world, but the carbon  
35 intensity of its economy (emissions per unit GDP) at 0.15 metric ton of emitted carbon per dollar of GDP  
36 is close to the world's average of 0.14 t C/\$ (EIA, 2005). Total U.S. emissions continue to grow at close

1 to the North American average rate of ~1.0% per year, but U.S. per capita emissions have been roughly  
2 constant for the past 30 years, while the carbon intensity of the U.S. economy has decreased at a rate of  
3 ~2% per year (see Figs. 3-1 to 3-3).

4 Absolute emissions grew at 1% per year even though per capita emissions were roughly constant  
5 simply because of population growth at an average rate of 1%. The constancy of U.S. per capita values  
6 masks faster than 1% growth in some sectors (e.g., transportation) that was balanced by slower growth in  
7 others (e.g., increased manufacturing energy efficiency) (Fig. 3-3). Also, a large part of the decline in the  
8 carbon intensity of the U.S. economy was caused by the comparatively rapid growth of the service sector  
9 (3.6% per year), which now dominates the economy (roughly three-fourths of GDP) and has carbon  
10 emissions per dollar of economic activity only 15% that of manufacturing (Figs. 3-3b to 3-3c). This  
11 implies that emissions growth is essentially decoupled from economic growth. Also, because the service  
12 sector is likely to continue to grow more rapidly than other sectors of the economy, we expect that carbon  
13 emissions will continue to grow more slowly than GDP. This is important because it speaks to the issue of  
14 our technological readiness to achieve an emissions target. For example, a portfolio of technologies able  
15 to reduce the 1% annual growth in emissions to 0%, might be insufficient if carbon emissions were to  
16 begin rising at the ~3% growth rate of GDP (Pacala and Socolow, 2004).

### 17 18 **Carbon Sinks (see Table 3-1 for citations and data)**

19 Approximately 30% of North American fossil fuel emissions are offset by a natural sink of 592 Mt C  
20 yr<sup>-1</sup> caused by a variety of factors, including forest regrowth, fire suppression, and agricultural soil  
21 conservation. The sink currently absorbs 506 Mt C yr<sup>-1</sup> in the United States and 134 Mt C yr<sup>-1</sup> in Canada.  
22 Mexican ecosystems create a net source of 48 Mt C yr<sup>-1</sup>. Rivers and international trade also export a net  
23 of 161 Mt C yr<sup>-1</sup> that was captured from the atmosphere by the continent's ecosystems, and so North  
24 America absorbs 753 Mt C yr<sup>-1</sup> of atmospheric CO<sub>2</sub> (753 = 592 + 161). Because most of these net exports  
25 will return to the atmosphere elsewhere within 1 year (i.e., carbon in exported grain will be eaten,  
26 metabolized, and exhaled as CO<sub>2</sub>), the net North American sink is rightly thought of as 592 Mt C yr<sup>-1</sup>  
27 even though the continent absorbs a net of 753 Mt C yr<sup>-1</sup>. Moreover, coastal waters are small net emitters  
28 to the atmosphere at the continental scale (19 Mt C yr<sup>-1</sup>) (see Chapter 15). However, much of the CO<sub>2</sub>  
29 absorbed from or emitted to the air by coastal waters is part of the natural carbon cycle of the oceans, and  
30 so coastal sea-air exchanges should also be excluded from the continental carbon sink.

31 As reported in Chapter 2, all of the world's continents collectively absorbed a net of approximately  
32 1500 Mt C yr<sup>-1</sup> of atmospheric CO<sub>2</sub> during the 1990s. However, because this value includes the losses of  
33 1000–2000 Mt C yr<sup>-1</sup> caused by tropical deforestation (Archard *et al.*, 2002; DeFries *et al.*, 2002;  
34 Houghton, 2003b), carbon sinks during the 1990s actually totaled 2500–3500 Mt C yr<sup>-1</sup>. North America's

1 net absorption of more than 700 Mt C yr<sup>-1</sup> thus represents 20–30% of the global total on 16.5% of the  
2 global land area. Similarly, the United States was responsible for 17–24% of the global total despite  
3 having only 6.5% of the land area (Table 3-1). The reason for the disproportionate importance of U.S.  
4 sinks is probably the unique land use history of the country (summary in Appendix 3A). During European  
5 settlement, large amounts of carbon were released from the harvest of virgin forests and the plowing of  
6 virgin soils to create agricultural lands. The abandonment of many of the formerly agricultural lands in  
7 the east and the regrowth of forest is a unique event globally and is responsible for about one-half of the  
8 U.S. sink (Houghton *et al.*, 2000). Most of the U.S. sink thus represents a one-time recapture of some of  
9 the carbon that was released to the atmosphere during settlement. In contrast, Mexican ecosystems, like  
10 those of many tropical nations, are still a net carbon source because of ongoing deforestation (Masera *et*  
11 *al.*, 1997).

12  
13 **Table 3-1. Annual net carbon emissions (source = positive) or uptake (land sink = negative) of**  
14 **carbon in millions of tons.**

15  
16 The magnitude of the North American sink documented in Table 3-1 offers the possibility that  
17 significant carbon mitigation could be accomplished by managing forests, rangelands, and croplands to  
18 increase the carbon stored in them. However, the range of uncertainty in these estimates is at least as large  
19 as the value reported in Table 3-1. The largest contributors to the uncertainty in the U.S. sink are the  
20 amount of carbon stored on rangelands because of the encroachment of woody vegetation and the lack of  
21 comprehensive and continuous inventory of Alaskan lands. A carbon inventory of these lands would do  
22 more to constrain the size of the U.S. sink than would any other measurement program of similar cost.  
23 Also we still lack comprehensive U.S. inventories of carbon in soils, woody debris, wetlands, rivers, and  
24 reservoirs. Finally, we lack estimates of any kind for four significant components of the carbon budget in  
25 Canada and six in Mexico (see Table 3-1).

26 The cause and future of the North American carbon sink is also highly uncertain. Although we can  
27 document the accumulation of carbon in ecosystems and wood products, we do not know how much of  
28 the sink is due to fertilization of the ecosystems by the nitrogen in air pollution and by the added CO<sub>2</sub> in  
29 the atmosphere, we do not fully understand the impact of tropospheric ozone, nor do we understand  
30 precisely how the sink will change as the climate changes. Research is mixed about the importance of  
31 nitrogen and CO<sub>2</sub> fertilization (Casperson *et al.*, 2000; Oren *et al.*, 2001; Hungate *et al.*, 2003; Luo 2006;  
32 Körner *et al.*, 2005). If these factors are weak, then, all else equal, we expect the North American sink to  
33 decline over time as ecosystems complete their recovery from past exploitation (Hurt *et al.*, 2002).  
34 However, if these factors are strong, then the sink could grow in the future. Similarly, global warming is

1 expected to lengthen the growing season in most parts of North America, which should increase the sink.  
2 But warming is also expected to increase the rate of decomposition of dead organic matter, which should  
3 decrease the sink. The relative strength of these two factors is still difficult to predict. Experimental  
4 manipulations of climate, atmospheric CO<sub>2</sub>, tropospheric ozone, and nitrogen, at the largest possible  
5 scale, will be required to reduce uncertainty about the future of the carbon sink.

## 7 NORTH AMERICAN FOSSIL FUEL EMISSIONS

8 Fossil fuel emissions currently dominate the net carbon balance in the United States, Canada, and  
9 Mexico (Fig. 3-1, Table 3-1). Fossil emissions are more than three times larger than the net carbon sink in  
10 the United States, marginally larger than the net sink in Canada, and twice as large as the net deforestation  
11 source in Mexico. Each of the three countries has always been a net source of carbon dioxide emissions to  
12 the atmosphere for the past three centuries (Houghton *et al.*, 1999, 2000; Houghton and Hackler, 2000;  
13 Hurtt *et al.*, 2002).

14 Carbon dioxide emissions continue to grow in North America at close to their 30-year average of  
15 1.0% per year. Figure 3-2 shows the growth of GDP and CO<sub>2</sub> emissions in more than 100 countries from  
16 1980 (tail of each arrow) until 2003 (arrow head). The vertical distance between the solid diagonal line  
17 and the average position of an arrow is inversely related to the country's relative carbon intensity. Note  
18 that the United States is no outlier in this respect. Also, the slope of an arrow shows the rate of emissions  
19 growth relative to the rate of economic growth—the flatter the slope, the faster the country's carbon  
20 intensity is decreasing. Thus, countries vertically close to the line have higher carbon intensities than  
21 countries far from the line. Note that the United States has a flatter slope than many countries including  
22 Japan, but that several other industrialized countries actually have growing GDP and declining emissions  
23 (the circled arrows).

24  
25 **Figure 3-2. GDP in 2000 U.S. dollars vs fossil fuel carbon emissions (Mt C yr<sup>-1</sup>).** Data from EIA  
26 (2005). Each arrow shows the sequence from 1980 to 2003 for a country. Note that carbon emissions per  
27 unit GDP decelerate as a country gains wealth. The lines in the figure show the slopes associated with the  
28 different ratios of GDP and emissions growth (the y-intercepts of the dotted and dashed lines are not  
29 important; we moved the lines representing different ratios of GDP and emissions growth to higher y-  
30 intercepts so as not to obscure the data summarized by the arrows).

31  
32 Historical decreases in U.S. carbon intensity began early in the 20th century and continue despite the  
33 approximate stabilization of per capita emissions (Fig. 3-3a). Why has the U.S. carbon intensity declined?  
34 This question is the subject of the extensive literature on the so-called structural decomposition of the

1 energy system and on the relationship between GDP and environment (i.e., Environmental Kuznets  
2 Curves; Grossman and Krueger, 1995; Selden and Song, 1994). See for example Greening *et al.* (1997,  
3 1998), Casler and Rose (1998), Golove and Schipper (1998), Rothman (1998), Suri and Chapman (1998),  
4 Greening *et al.* (1999), Ang and Zhang (2000), Greening *et al.* (2001), Davis *et al.* (2002), Kahn (2003),  
5 Greening (2004), Lindmark (2004), Aldy (2005), and Lenzen *et al.* (2006).

6 Possible causes of the decline in U.S. carbon intensity include structural changes in the economy,  
7 technological improvements in energy efficiency, behavioral changes by consumers and producers, the  
8 growth of renewable and nuclear energy, and the displacement of oil consumption by gas, or coal by oil  
9 and gas (if we produce the same amount of energy from coal, oil, and gas, then the emissions from oil are  
10 only 80% of those from coal, and from gas only 75% of those from oil) (Casler and Rose, 1998; Ang and  
11 Zhang, 2000). The last two items on this list are not dominant causes because we observe that both  
12 primary energy consumption and carbon emissions grew at close to 1% per year over the past 30 years  
13 (EIA, 2005). At least in the United States, there has been no significant decarbonization of the energy  
14 system during this period. However, all of the other items on the list play a significant role. The economy  
15 has grown at an annual rate of 2.8% over the last three decades because of 3.6% growth in the service  
16 sector; manufacturing grew at only 1.5% per year (Fig. 3-3b). Because the service sector has a much  
17 lower carbon intensity than manufacturing (a factor of 6.5 in 2002; compare Figs. 3-3b and 3-3c), this  
18 faster growth of services reduces the country's carbon intensity. If all of the growth in the service sector  
19 had been in manufacturing from 1971 to 2001, then the emissions would have grown at 2% per year  
20 instead of 1%. So, structural change is at least one-half of the answer. However, note that emissions from  
21 manufacturing are approximately constant despite 1.5% economic growth, while those of services grew at  
22 2.1% despite 3.6% economic growth (Figs. 3-3b and 3-3c). The decrease in the carbon intensity within  
23 these sectors is caused both by within-sector structural shifts (i.e., from heavy to light manufacturing) and  
24 by technological improvements (See Part II of this report). Emissions from the residential sector are  
25 growing at roughly the same rate as the population (Fig. 3-3c; 30-year average of 1.0% per year), while  
26 emissions from transportation are growing faster than the population but slower than GDP (Fig. 3-3c;  
27 30-year average of 1.4% per year). The difference between the 3% growth rate of GDP and the 1.6%  
28 growth in emissions from transportation is not primarily due to technological improvement because  
29 carbon emissions per mile traveled have been level or increasing over the period (Chapter 7).

30  
31 **Figure 3-3. (a) The historical relationship between U.S. per capita GDP and U.S. carbon intensity**  
32 **(green symbols, kg CO<sub>2</sub> emitted per 1995 dollar of GDP) and per capita carbon emissions (red**  
33 **symbols, kg CO<sub>2</sub> per person).** Each symbol shows a different year, and each of the two time series  
34 progresses roughly chronologically from left (early) to right (late) and ends in 2002. *Source:* Maddison

1 (2003), Marland *et al.* (2005). Thus, the red square farthest to the right shows U.S. per capita CO<sub>2</sub>  
2 emissions in 2002. The square second farthest to the right shows per capita emissions in 2001. The third  
3 farthest to the right shows 2000 and so on. Note that per capita emissions have been roughly constant over  
4 the last 30 years (squares corresponding to per capita GDP greater than approximately \$16,000). (b)  
5 Historical U.S. GDP divided among the manufacturing, services and agricultural sectors. *Source*: Mitchell  
6 (1998) and WRI (2005). (c) Historical U.S. carbon emissions divided among the residential, services,  
7 manufacturing, and transportation sectors. *Source*: EIA (2005).

## 9 NORTH AMERICAN CARBON SINK

10 Appendix 3A contains an overview of the historical development of the sinks in U.S. and Canadian  
11 ecosystems and the source from ongoing deforestation in Mexico. The remainder of this chapter focuses  
12 on current values. To estimate non-fossil sources and sinks, we rely exclusively on inventory methods in  
13 which the total amount of carbon in a pool (i.e., living forest trees plus forest soils) is measured on two  
14 occasions. The difference between the two measurements shows if the pool is gaining (sink) or losing  
15 (source) carbon. Carbon inventories are straightforward in principle, but of uneven quality in practice. For  
16 example, we know the carbon in living trees in the United States relatively accurately because the U.S.  
17 Forest Service Forest Inventory program measures trees systematically in more than 200,000 locations.  
18 However, we must extrapolate from a few measurements of forest soils with models because there is no  
19 national inventory of carbon in forest soils. We report uncertainties using six categories: \*\*\*\*\* = 95%  
20 certain that the actual value is within 10% of the estimate reported, \*\*\*\* = 95% certain that the estimate  
21 is within 25%, \*\*\* = 95% certain that the estimate is within 50%, \*\* = 95% certain that the estimate is  
22 within 100%, \* = uncertainty > 100%.

23 In addition to inventory methods, it is also possible to estimate carbon sources and sinks by  
24 measuring carbon dioxide in the atmosphere. For example, if air exits the border of a continent with more  
25 CO<sub>2</sub> than it contained when it entered, then there must be a net source of CO<sub>2</sub> somewhere inside the  
26 continent. We do not include estimates obtained in this way because they are still highly uncertain at  
27 continental scales. Pacala *et al.* (2001) found that atmosphere- and inventory-based methods gave  
28 consistent estimates of U.S. ecosystem sources and sinks but that the range of uncertainty from the former  
29 was considerably larger than the range from the latter. For example, by far the largest published estimate  
30 for the North American carbon sink was produced by an analysis of atmospheric data by Fan *et al.* (1998)  
31 (1700 Mt C yr<sup>-1</sup>). The appropriate inventory-based estimate to compare this to is our  
32 -753 Mt C yr<sup>-1</sup> of net absorption (atmospheric estimates include net horizontal exports by rivers and  
33 trade), and this number is well within the wide uncertainty limits in Fan *et al.* (1998). The allure of  
34 estimates from atmospheric data is that they do not risk missing critical uninventoried carbon pools. But,  
35 in practice, they are still far less accurate at continental scales than a careful inventory (Pacala *et al.*,

1 2000). Using today's technology, it should be possible to complete a comprehensive inventory of the sink  
2 at national scales, with the same accuracy as the U.S. forest inventory currently achieves for above-  
3 ground carbon in forests (25%, Smith and Heath, 2005). Moreover, this inventory would provide  
4 disaggregated information about the sink's causes and geographic distribution. In contrast, estimates from  
5 atmospheric methods rely on the accuracy of atmospheric models, and estimates obtained from different  
6 models vary by 100% or more at the scale of the United States, Canada, or Mexico (Gurney *et al.*, 2004).

7 The current emissions of carbon by the United States, Canada, Mexico, and North America are listed  
8 in Table 3-1, and the much larger current stocks of ecosystem carbon are listed in Table 3-2 (note the  
9 change of units from millions of tons of carbon per year in Table 3-1 to billions of tons of carbon in  
10 Table 3-2). **In Table 3-1, a negative number indicates a carbon sink, and a positive number**  
11 **indicates a carbon source.**

12  
13 **Table 3-2. Carbon stocks in North America in billions of tons.**  
14

## 15 **Forests**

16 Based on U.S. Forest Service inventories, forest ecosystem carbon stocks in the United States,  
17 excluding soil carbon, have increased since 1953. The rate of increase has recently slowed because of  
18 increasing harvest and declining growth in some areas with maturing forests. The current average annual  
19 increase in carbon in trees is 146 Mt C yr<sup>-1</sup> (Smith and Heath, 2005) plus 23 Mt C yr<sup>-1</sup> from urban and  
20 suburban trees (Chapter 14). The total estimate of the carbon sink in forested ecosystems is -259 Mt C yr<sup>-1</sup>  
21 and includes a sink of 90 Mt C yr<sup>-1</sup> from the accumulation of nonliving carbon in the soil (-90-146-23 =  
22 -259) (Pacala *et al.*, 2001; Goodale *et al.*, 2002). Although the magnitude of the forest soil sink has  
23 always been uncertain, it is now possible to measure the total above-and below-ground sink in a few  
24 square kilometers by monitoring the atmospheric carbon dioxide that flows into and out of the site over  
25 the course of a year. Note that these spatially intensive methods appropriate for monitoring the sink over a  
26 few square kilometers are unrelated to the spatially extensive methods described above, which attempt to  
27 constrain the sink at continental scales. As described in Appendix 3B, these studies now confirm the  
28 estimates of inventories and show that most of the forest sink is above ground.

29 According to Canada's Greenhouse Gas Inventory (Environment Canada, 2005), managed forests in  
30 Canada (comprising 53% of the total forest area) sequestered 101 Mt C aboveground in 1990. Since then,  
31 carbon sequestration has decreased gradually to 69 Mt C in 2003, as managed forests have recovered  
32 from past disturbances (Kurz and Apps, 1999). In addition, Goodale *et al.* (2002) estimate the sink of  
33 nonliving carbon belowground to be -30 Mt C yr<sup>-1</sup> for the period 1990-1994.

1 The two studies of Mexican forests (Masera *et al.*, 1997 and Cairns *et al.*, 2000) both report  
2 substantial losses of forest carbon, primarily because of deforestation in the tropical south. However, both  
3 of these studies rely on calculations of carbon loss from remote imagery, rather than direct measurements,  
4 and both report results for a period that ended more than 10 years ago.

## 6 **Wood Products**

7 Wood products create a carbon sink because they accumulate both in use (e.g., furniture, house  
8 frames, etc.) and in landfills. The wood products sink is estimated at  $-57 \text{ Mt C yr}^{-1}$  in the United States  
9 (Skog and Nicholson, 1998) and  $-10 \text{ Mt C yr}^{-1}$  in Canada (Goodale *et al.*, 2002). We know of no  
10 estimates for Mexico.

## 12 **Woody Encroachment**

13 Woody encroachment is the invasion of woody plants into grasslands or the invasion of trees into  
14 shrublands. It is caused by a combination of fire suppression and grazing. Fire inside the United States  
15 has been reduced by more than 95% from the pre-settlement level of approximately 80 million hectares  
16 burned per year, and this favors shrubs and trees in competition with grasses (Houghton *et al.*, 2000).  
17 Field studies show that woody encroachment both increases the amount of living plant carbon and  
18 decreases the amount of dead carbon in the soil (Guo and Gifford, 2002; Jackson *et al.*, 2002). Although  
19 the gains and losses are of similar magnitude (Jackson *et al.*, 2002), the losses occur within approximately  
20 a decade after the woody plants invade (Guo and Gifford, 2002), while the gains occur over a period of up  
21 to a century or more. Thus, the net source or sink depends on the distribution of times since woody plants  
22 invaded, and this is not known. Estimates for the size of the current U.S. woody encroachment sink  
23 (Kulshreshtha *et al.*, 2000; Houghton and Hackler, 1999; and Hurtt *et al.*, 2002) all rely on methods that  
24 do not account for the initial rapid loss of carbon from soil when grasslands were converted to shrublands  
25 or forest. The estimate of  $-120 \text{ Mt C yr}^{-1}$  in Table 3-1 is from Kulshreshtha *et al.* (2000) but is similar to  
26 the estimates from the other two studies ( $-120$  and  $-130 \text{ Mt C yr}^{-1}$ ). No estimates are currently available  
27 for Canada or Mexico. Note the error estimate of more than 100% in Table 3-1. A comprehensive set of  
28 measurements of woody encroachment would reduce the error in the national and continental carbon  
29 budgets more than any other inventory.

## 31 **Agricultural Lands**

32 Soils in croplands and grazing lands have been historically depleted of carbon by humans and their  
33 animals, especially if the land was converted from forest to non-forest use. Harvest or consumption by  
34 animals reduces the input of organic matter to the soil, while tillage and manure inputs increase the rate of

1 decomposition. Changes in cropland management, such as the adoption of no-till agriculture (see Chapter  
2 10), have reversed the losses of carbon on some croplands, but the losses continue on the remaining lands.  
3 The net is an approximate carbon balance for agricultural soils in Canada and 1.5 to  $-6 \text{ Mt C yr}^{-1}$  in the  
4 United States.

## 6 **Wetlands**

7 Peatlands are wetlands that have accumulated deep soil carbon deposits over thousands of years  
8 because decomposition in them is less than plant productivity. Thus, wetlands form the largest carbon  
9 pool of any North American ecosystem (Table 3-2). If drained for development, this soil carbon pool is  
10 rapidly lost. Canada's extensive frozen and unfrozen wetlands create a net sink of between  $-19$  and  
11  $-20 \text{ Mt C yr}^{-1}$  (see Chapters 12 and 13), but drainage of U.S. peatlands have created a net source of  
12  $5 \text{ Mt C yr}^{-1}$ . The very large pool of peat in northern wetlands is vulnerable to climate change and could  
13 add more than 100 ppm to the atmosphere ( $1 \text{ ppm} \approx 2.1 \text{ Gt C}$ ) during this century if released because of  
14 global warming (see the model result in Cox *et al.*, 2000 for an example).

15 The carbon sink due to sedimentation in wetlands is between 0 and  $-21 \text{ Mt C yr}^{-1}$  in Canada and  
16 between 0 and  $-112 \text{ Mt C yr}^{-1}$  in the United States (see Chapter 13). Another important priority for  
17 research is to better constrain carbon sequestration due to sedimentation in wetlands, lakes, reservoirs,  
18 and rivers.

19 The focus on this report is on carbon fluxes without a consideration of the radiative forcing of  
20 different greenhouse gases [i.e., global warming potential (GWP)]. However, wetlands are naturally an  
21 important source of methane ( $\text{CH}_4$ ). The GWP of a gas depends on its instantaneous radiative forcing and  
22 its lifetime in the atmosphere, with methane having GWPs of 1.9 and 16.9  $\text{CO}_2\text{-C}$  equivalents on 500-year  
23 and 20-year time frames, respectively (Ramaswamy *et al.*, 2001). Methane emissions effectively cancel  
24 out the positive benefits of any carbon storage as peat in Canada and make U.S. wetlands a source of  
25 warming on a decadal time scale (Chapter 9). Moreover, if wetlands become warmer and remain wet with  
26 future climate change, they have the potential to emit large amounts of methane. This is probably the  
27 single most important consideration, and unknown, in the role of wetlands and future climate change.

## 29 **Rivers and Reservoirs**

30 Organic sediments accumulate in reservoirs, alluvium, and colluvium and represent a carbon sink.  
31 Pacala *et al.* (2001) extended an analysis of reservoir sedimentation (Stallard, 1998) to an inventory of the  
32 68,000 reservoirs in the United States and also estimated net carbon burial in alluvium and colluvium.  
33 Table 3-1 includes the midpoint of their estimated range of 10 to  $40 \text{ Mt C yr}^{-1}$  in the coterminous United

1 States. This analysis has also recently been repeated and produced an estimate of 17 Mt C yr<sup>-1</sup>  
2 (E. Sundquist, personal communication). We know of no similar analysis for Canada or Mexico.

### 4 **Exports Minus Imports of Wood and Agricultural Products**

5 The United States imports 14 Mt C yr<sup>-1</sup> more wood products than it exports and exports 30–50 Mt C  
6 yr<sup>-1</sup> more agricultural products than it imports (Pacala *et al.*, 2001). The large imbalance in agricultural  
7 products is primarily because of exported grains and oil seeds. Canada and Mexico are net wood  
8 exporters, with Canada at –74 Mt C yr<sup>-1</sup> (Environment Canada, 2005) and Mexico at –1 Mt C yr<sup>-1</sup>  
9 (Masera *et al.*, 1997). We know of no analysis of the Canadian or Mexican export-import balance for  
10 agricultural products.

### 12 **River Export**

13 Rivers in the coterminous United States were estimated to export 30–40 Mt C yr<sup>-1</sup> to the oceans in the  
14 form of dissolved and particulate organic carbon and inorganic carbon derived from the atmosphere  
15 (Pacala *et al.*, 2001). An additional 12–20 Mt C yr<sup>-1</sup> of inorganic carbon is also exported by rivers but is  
16 derived from carbonate minerals. We know of no corresponding estimates for Alaska, Canada, or Mexico.

### 18 **Coastal Waters**

19 Chapter 15 summarizes the complexity and large uncertainty of the sea-air flux of CO<sub>2</sub> in North  
20 American coastal waters. It is important to understand that the source in Mexican coastal waters is not  
21 caused by humans and would have been present in preindustrial times. It is simply the result of the purely  
22 physical upwelling of carbon-rich deep waters and is a natural part of the oceanic carbon cycle. It is not  
23 yet known how much of the absorption of carbon by U.S. and Canadian coastal waters is natural and how  
24 much is caused by nutrient additions to the coastal zone by humans. Accordingly, it is essentially  
25 impossible to currently assess the potential or costs for carbon management in coastal waters of North  
26 America.

### 28 **CONCLUDING SUMMARY**

29 U.S. fossil fuel consumption currently emits 1582 Mt C yr<sup>-1</sup> to the atmosphere. This is partially  
30 balanced by a flow of 506 Mt C yr<sup>-1</sup> from the atmosphere to land caused by net ecosystem sinks in the  
31 United States. Canadian fossil consumption transfers 164 Mt C yr<sup>-1</sup> to the atmosphere, but net ecological  
32 sinks capture 134 Mt C yr<sup>-1</sup>. Mexican fossil emissions of 110 Mt C yr<sup>-1</sup> are supplemented by a net  
33 ecosystem source of 48 Mt C yr<sup>-1</sup> from tropical deforestation.

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1 **Table 3-1. Annual net emissions (source = positive) or uptake (land sink = negative)**  
 2 **of carbon in millions of tons**

Source (positive) or Sink (negative)	United States	Canada	Mexico	North America
<b>Fossil source (positive)</b>				
Fossil fuel ***** <sup>a</sup> (oil, gas, coal)	1582 (681, 328, 573)	164 (75, 48, 40)	110 (71, 29, 11)	1857 (828, 405, 624)
<b>Nonfossil carbon sink (negative) or source (positive)</b>				
Forest***	-259 <sup>b</sup>	-99 <sup>c</sup>	+52 <sup>d</sup>	-283
Wood products****	-57 <sup>e</sup>	-10 <sup>f</sup>	ND	-67
Woody encroachment *	-120 <sup>g</sup>	ND	ND	-120
Agricultural soils**	-4 <sup>h</sup>	-0 <sup>h</sup>	-0 <sup>h</sup>	-4
Wetlands*	-41 <sup>i</sup>	-25 <sup>i</sup>	4 <sup>i</sup>	-70
Rivers and reservoirs**	-25 <sup>j</sup>	ND	ND	-25
Total carbon sink ***	-506	-134	48	-592
<b>Net horizontal exports (negative) or imports (positive)</b>				
Wood products****	14 <sup>e</sup>	-74 <sup>c</sup>	-1 <sup>d</sup>	-61
Agriculture products***	-65 <sup>k</sup>	ND	ND	-65
Rivers to ocean**	-35 <sup>k</sup>	ND	ND	-35
Total net absorption** (Sink plus exports)	-592	-208	47	-753
Net absorption (negative) or emission (positive) by coastal waters ****	ND	ND	ND	19 <sup>l</sup>

3 Uncertainty:

4 \*\*\*\*\* (95% confidence within 10%)

5 \*\*\*\* (95% confidence within 25%)

6 \*\*\* (95% confidence within 50%)

7 \*\* (95% confidence within 100%)

8 \* (95% confidence bounds >100%)

9 ND = No data available

10 <sup>a</sup>http://www.eia.doe.gov/env/inlenv.htm

11 <sup>b</sup>Smith and Heath (2005) for above ground carbon, but including 23 Mt C/yr<sup>-1</sup> for U.S. urban and suburban forests from  
 12 Chapter 14, and Pacala *et al.* (2001) for below ground carbon.

13 <sup>c</sup>Environment Canada (2005)

14 <sup>d</sup>Masera *et al.* (1997)

15 <sup>e</sup>Skog *et al.* (2004), Skog and Nicholson (1998)

16 <sup>f</sup>Goodale *et al.* (2002)

17 <sup>g</sup>Kulshreshtha *et al.* (2000), Hurtt *et al.* (2002), Houghton and Hackler (1999).

18 <sup>h</sup>Chapter 10

19 <sup>i</sup>Chapter 13

20 <sup>j</sup>Stallard, 1998; Pacala *et al.* (2001)

21 <sup>k</sup>Pacala *et al.* (2001)

22 <sup>l</sup>Chapter 15

1

**Table 3-2. Carbon stocks in North America in billions of tons**

	United States	Canada	Mexico	North America
Forest	53 <sup>a</sup>	85 <sup>a</sup>	9 <sup>d</sup>	147
Cropland	14 <sup>b</sup>	4 <sup>b</sup>	1 <sup>b</sup>	19
Pasture	33 <sup>b</sup>	12 <sup>b</sup>	10 <sup>b</sup>	55
Wetlands	42 <sup>c</sup>	152 <sup>c</sup>	2 <sup>c</sup>	196
Total	142	253	22	417

2

<sup>a</sup>Goodale *et al.* (2002)

3

<sup>b</sup>Chapter 10

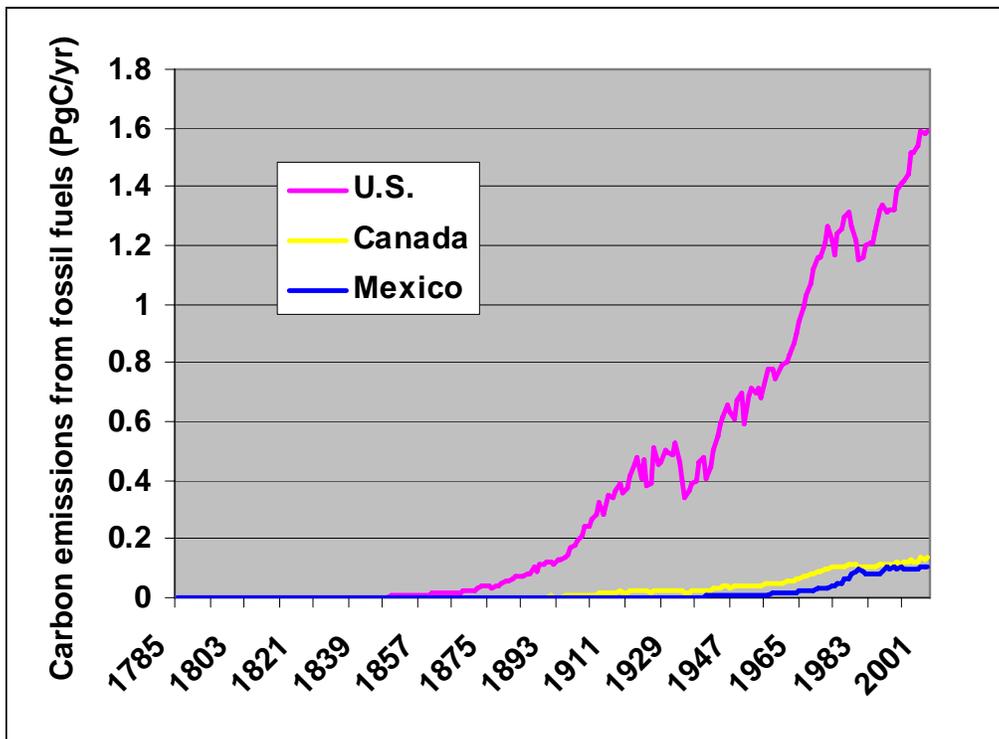
4

<sup>c</sup>Chapter 13

5

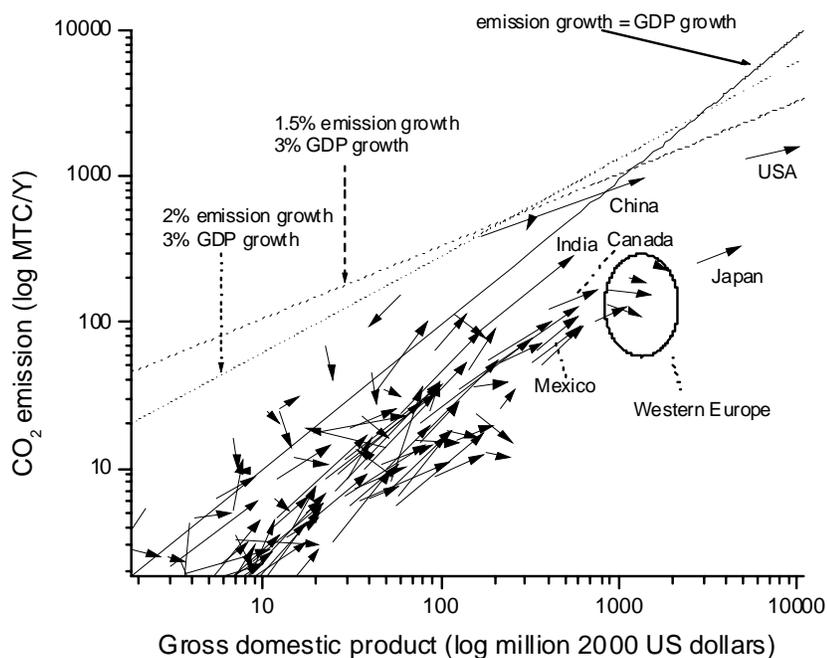
<sup>d</sup>Masera *et al.* (1997)

1



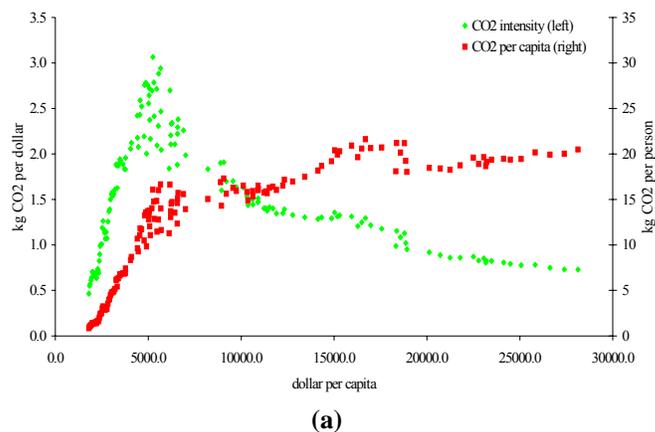
2 Fig. 3-1. Historical carbon emissions from fossil fuel in the United States, Canada, and Mexico. Data from  
3 EIA (2005).

1

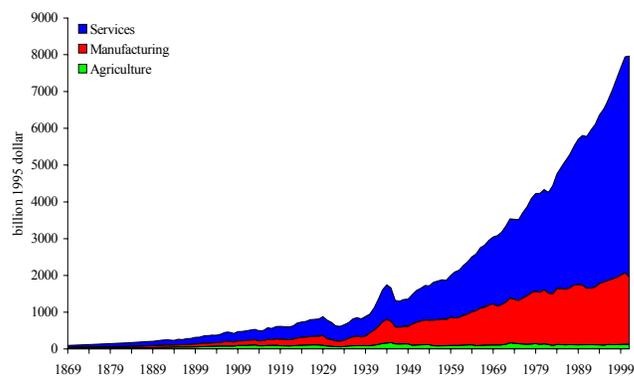


2 **Fig. 3-2. GDP in 2000 U.S. dollars vs fossil fuel carbon emissions (Mt C/yr<sup>-1</sup>).** Data from EIA (2005). Each  
 3 arrow shows the sequence from 1980 to 2003 for a country. Note that carbon emissions per unit GDP decelerate as a  
 4 country gains wealth. The lines in the figure show the slopes associated with the different ratios of GDP and  
 5 emissions growth (the y-intercepts of the dotted and dashed lines are not important; we moved the lines representing  
 6 different ratios of GDP and emissions growth to higher y-intercepts so as not to obscure the data summarized by the  
 7 arrows).

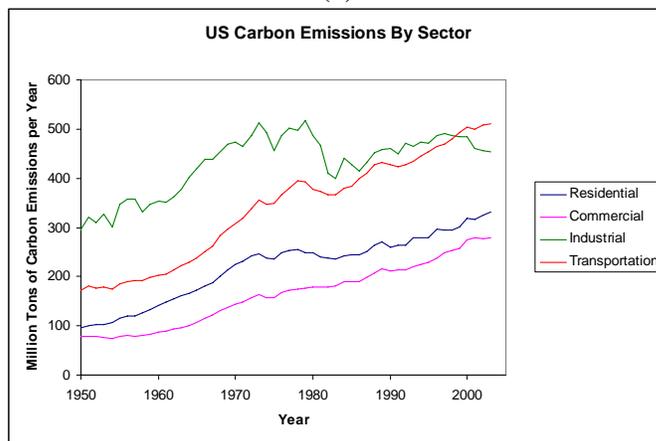
1



(a)



(b)



(c)

2 Fig. 3-3. (a) The historical relationship between U.S. per capita GDP and U.S. carbon intensity (green  
 3 symbols, kg CO<sub>2</sub> emitted per 1995 dollar of GDP) and per capita carbon emissions (red symbols, kg CO<sub>2</sub> per  
 4 person). Each symbol shows a different year, and each of the two time series progresses roughly chronologically  
 5 from left (early) to right (late) and ends in 2002. Source: Maddison (2003), Marland *et al.* (2005). Thus, the red  
 6 square farthest to the right shows U.S. per capita CO<sub>2</sub> emissions in 2002. The square second farthest to the right  
 7 shows per capita emissions in 2001. The third farthest to the right shows 2000, and so on. Note that per capita  
 8 emissions have been roughly constant over the last 30 years (squares corresponding to per capita GDP greater than

1 approximately \$16,000). (b) Historical U.S. GDP divided among the manufacturing, services, and agricultural  
2 sectors. *Source:* Mitchell (1998), WRI (2005). (c) Historical U.S. carbon emissions divided among the residential,  
3 services, manufacturing, and transportation sectors. *Source:* EIA (2005).

## Appendix 3A

### Historical Overview of the Development of U.S., Canadian, and Mexican Ecosystem Sources and Sinks for Atmospheric Carbon

Although the lands of the New World were inhabited before the arrival of Europeans, the changes since arrival have been enormous, especially during the last two centuries. Peak U.S. emissions from land-use change occurred late in the 19th century, and the last few decades have experienced a carbon sink (Houghton *et al.*, 1999; Hurtt *et al.*, 2002). In Canada, peak emissions occurred nearly a century later than in the United States, and current data show that land-use change causes a net carbon sink (Environment Canada, 2005). In Mexico, the emissions of carbon continue to increase from net deforestation. All three countries may be in different stages of the same development pattern (see Fig. 3-2).

The largest changes in land use and the largest emissions of carbon came from the expansion of croplands. In addition to the carbon lost from trees, soils lose 25–30% of their initial carbon content (to a depth of 1 m) when cultivated. In the United States, croplands increased from about 0.25 million ha in 1700 to 236 million ha in 1990 (Houghton *et al.*, 1999; Houghton and Hackler, 2000). The most rapid expansion (and the largest emissions) occurred between 1800 and 1900, and since 1920 there has been little net change in cropland area (Fig. 3-2). Pastures expanded nearly as much, from 0.01 million to 231 million ha, most of the increase taking place between 1850 and 1950. As most pastures were derived from grasslands, the associated changes in carbon stocks were modest.

The total area of forests and woodlands in the United States declined as a result of agricultural expansion by 160 million ha (38%), but this net change obscures the dynamics of forest loss and recovery, especially in the eastern part of the United States. After 1920, forest areas increased by 14 million ha nationwide as farmlands continued to be abandoned in the northeast, southeast, and north central regions. Nevertheless, another 4 million ha of forest were lost in other regions, and the net recovery of 10 million ha offset only 6% of the net loss (Houghton and Hackler, 2000).

Between 1938 and 2002, the total area of forest land in the conterminous United States decreased slightly, by 3 million ha (Smith *et al.*, 2004). This small change is the net result of much larger shifts among land-use classes (Birdsey and Lewis, 2003). Gains of forest land, primarily from cropland and pasture, were about 50 million ha for this period. Losses of forest land to cropland, pasture, and developed use were about 53 million ha for the same period. Gains of forest land were primarily in the

1 Eastern United States, whereas losses to cropland and pasture were predominantly in the South, and  
2 losses to developed use were spread around all regions of the United States.

3 In the United States, harvest of industrial wood (timber) generally followed the periods of major  
4 agricultural clearing in each region. In the last few decades, total volume harvested increased until a  
5 recent leveling took place (Smith *et al.*, 2004). The volume harvested in the Pacific Coast and Rocky  
6 Mountain regions has declined sharply, whereas harvest in the South increased and in the North, stayed  
7 level. Fuel wood harvest peaked between 1860 and 1880, after which fossil fuels became the dominant  
8 type of fuel (Houghton and Hackler, 2000).

9 The arrival of Europeans reduced the area annually burned, but a federal program of fire protection  
10 was not established until early in the 20th century. Fire exclusion had begun earlier in California and in  
11 parts of the central, mountain, and Pacific regions. However, neither the extent nor the timing of early fire  
12 exclusion is well known. After about 1920, the Cooperative Fire Protection Program gradually reduced  
13 the areas annually burned by wildfires (Houghton *et al.*, 1999, 2000). The reduction in wildfires led to an  
14 increase in carbon storage in forests. How long this “recovery” will last is unclear. There is some  
15 evidence that fires are becoming more widespread, again, especially in Canada and the western United  
16 States. Fire exclusion and suppression are also thought to have led to woody encroachment, especially in  
17 the southwestern and western United States. The extent and rate of this process is poorly documented,  
18 however, and estimates of a carbon sink are very uncertain. Gains in carbon aboveground may be offset  
19 by losses belowground in some systems, and the spread of exotic annual grasses into semiarid deserts and  
20 shrublands may be converting the recent sink to a source (Bradley *et al.*, in preparation).

21 The consequence of this land-use history is that U.S. forests, at present, are recovering from  
22 agricultural abandonment, fire suppression, and reduced logging (in some regions), and, as a result, are  
23 accumulating carbon (Birdsey and Heath, 1995; Houghton *et al.*, 1999; Caspersen *et al.*, 2000; Pacala  
24 *et al.*, 2001). The magnitude of the sink is uncertain, and whether any of it has been enhanced by  
25 environmental change (CO<sub>2</sub> fertilization, nitrogen deposition, and changes in climate) is unclear.  
26 Understanding the mechanisms responsible for the current sink is important for predicting its future  
27 behavior (Hurt *et al.*, 2002).

28 In the mid-1980s, Mexico lost approximately 668,000 ha of closed forests annually, about 75% of  
29 them tropical forests (Masera *et al.*, 1997). Most deforestation was for pastures. Another 136,000 ha of  
30 forest suffered major perturbations, and the net flux of carbon from deforestation, logging, fires,  
31 degradation, and the establishment of plantations was 52.3 Mt C yr<sup>-1</sup>, about 40% of the country’s  
32 estimated annual emissions of carbon. A later study found the deforestation rate for tropical Mexico to be  
33 about 12% higher (1.9% per year) (Cairns *et al.*, 2000).

## Appendix 3B

### Eddy-Covariance Measurements Now Confirm Estimates of Carbon Sinks from Forest Inventories

Long-term, tower-based, eddy-covariance measurements (e.g., Wofsy *et al.*, 1993) represent an independent approach to measuring ecosystem-atmosphere CO<sub>2</sub> exchange. The method describes fluxes over areas of approximately 1 km<sup>2</sup> (Horst and Weil, 1994), measures hour-by-hour ecosystem carbon fluxes, and can be integrated over time scales of years. A network of more than 200 sites now exists globally (Baldocchi *et al.*, 2001); more than 50 of these are in North America. None of these sites existed in 1990, so these represent a relatively new source of information about the terrestrial carbon cycle. An increasing number of these measurement sites include concurrent carbon inventory measurements.

Where eddy-covariance and inventory measurements are concurrent, the rates of accumulation or loss of biomass are often consistent to within several tens of g C m<sup>-2</sup> yr<sup>-1</sup> for a one-year sample. Published intercomparisons in North America exist for western coniferous forests (Law *et al.*, 2001), agricultural sites (Verma *et al.*, 2005), and eastern deciduous forests (Barford *et al.*, 2001; Cook *et al.*, 2004; Curtis *et al.*, 2002; Ehmann *et al.*, 2002; Gough *et al.*, in review). Multiyear studies at two sites (Barford *et al.*, 2001; Gough *et al.*, in review) show that 5- to 10-year averages converge toward better agreement. Table 3B-1 from Barford *et al.* (2001) shows the results of nearly a decade of concurrent measurements in an eastern deciduous forest.

This concurrence between eddy-covariance flux measurements and ecosystem carbon inventories is relevant because it provides independent validation of the inventory measurements used to estimate long-term trends in carbon stocks. The eddy-covariance data are also valuable because the assembly of global eddy-covariance data provides independent support for net storage of carbon by many terrestrial ecosystems and the substantial year-to-year variability in this net sink. The existence of the eddy-covariance data also makes the sites suitable for co-locating mechanistic studies of inter-annual, and shorter, time-scale processes governing the terrestrial carbon cycle. Chronosequences show trends consistent with inventory assessments of forest growth, and comparisons across space and plant functional types are beginning to show broad consistency. These results show a consistency across a mixture of observational methods with complementary characteristics, which should facilitate the development of an increasingly complete understanding of continental carbon dynamics (Canadell *et al.*, 2000).

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**Table 3B-1. Carbon budget for Harvard Forest from forest inventory and eddy-covariance flux measurements, 1993–2001.** *Source:* Barford *et al.* (2001), Table 1. Numbers in parentheses give the ranges of the 95% confidence intervals.

Component	Change in carbon stock or flux (g C m <sup>-2</sup> yr <sup>-1</sup> )	Totals
Change in live biomass		
A. Aboveground		
1. Growth	1.4 (±0.2)	
2. Mortality	-0.6 (±0.6)	
B. Belowground (estimated)		
1. Growth	0.3	
2. Mortality	-0.1	
Subtotal		1.0 (±0.2)
Change in dead wood		
A. Mortality		
1. Aboveground	0.6 (±0.6)	
2. Belowground	0.1	
B. Respiration	-0.3 (±0.3)	
Subtotal		0.4 (±0.3)
Change in soil carbon (net)		0.2 (±0.1)
Sum of carbon budget figures		1.6 (±0.4)
Sum of eddy-covariance flux measurements		2.0 (±0.4)

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